


# Feedback shift register based stream ciphers



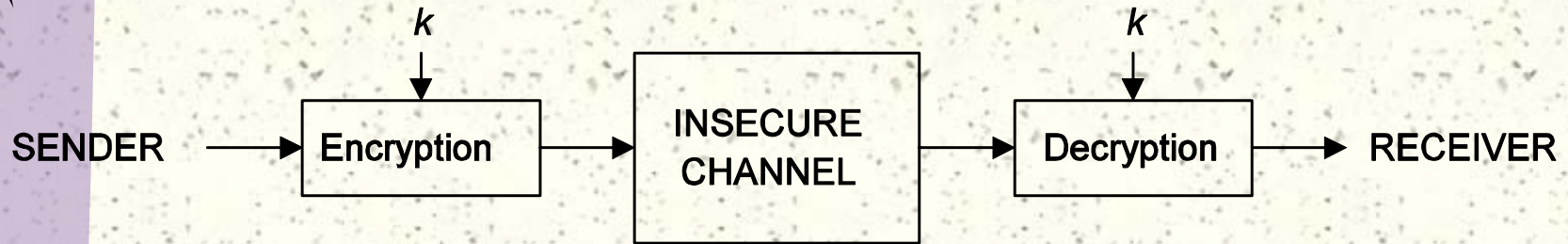
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# CONTENTS

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- # Efficient encryption and possible solutions
- # Stream ciphers
- # Basic security analysis of stream ciphers
  
- # LFSR sequences
- # Design of LFSR based stream ciphers
- # NLFSR sequences

# OUR PROBLEM - EFFICIENT ENCRYPTION

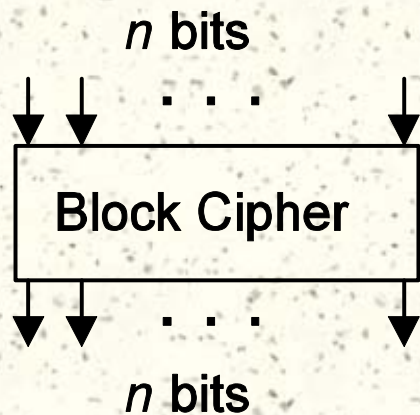


Large amount of  
data to send

- # Public key solutions too slow, used only for key setup
- # We need *symmetric encryption*
- # *Stream ciphers, Block ciphers*

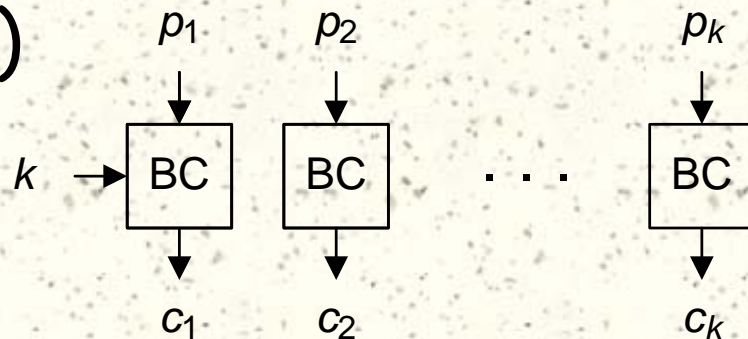
# BLOCK CIPHERS

# Ideally, random permutations



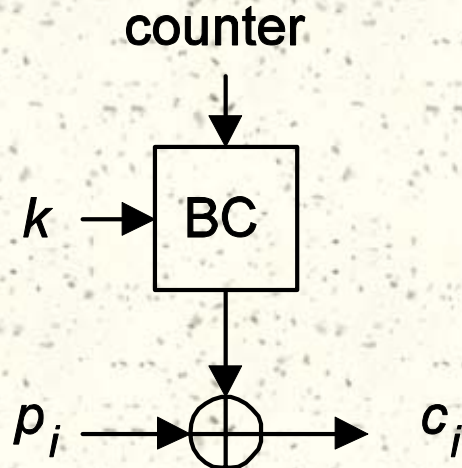
Each key defines a permutation on the set of  $n$ -bit strings

# One problem: We cannot encrypt as follows:  
(because if  $p_i = p_j$  then  $c_i = c_j$ )



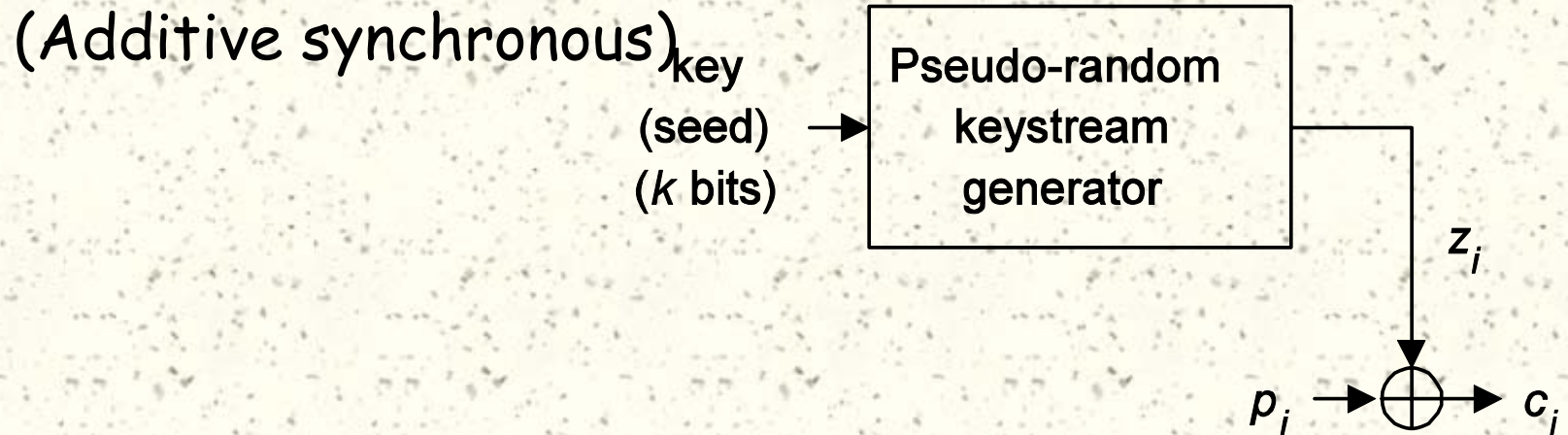
# BLOCK CIPHERS

- # The block cipher must be used in a *mode of operation*
- # For example, counter mode



*But this is also a stream cipher ...*

# STREAM CIPHERS



- ✦ The PRKG stretches the  $k$  bit key to some arbitrarily long sequence

$$Z = z_1, z_2, z_3, \dots$$

*(keystream, running key)*

# DEFINITION OF A GENERATOR

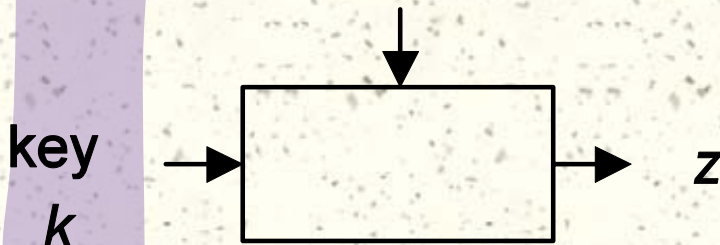
*Version 1*



| key     | keystream           |
|---------|---------------------|
| 00...00 | 0110100110110100... |
| 00...01 | 1010111001000010... |
|         |                     |

*Version 2 (with IV):*

**IV PUBLIC!**



| IV      | key     |                     |
|---------|---------|---------------------|
| 00...00 | 00...00 | 0110100110110100... |
| "       | 00...01 | 1010111001000010... |
|         |         |                     |
| 00...01 | 00...00 | 1100101101010101... |
| "       | 00...01 | 0101001100110100... |

# OPERATION OF A STREAM CIPHER

## 1. Key initialization

Set all the internal variables according to the selected key

## IV initialization

Set all the internal variables according to the IV

## 2. Run the generator and produce the keystream

$$Z = z_1, z_2, z_3, \dots$$

## 3. Add the keystream to the plaintext

$$c_i = p_i + z_i$$



# MOTIVATION FOR STUDYING STREAM CIPHERS

- # We need to bring forward new modern stream ciphers and study them carefully
- # A modern stream cipher should be superior to a block cipher in performance (software and hardware)
- # A modern stream cipher should provide security similar to a block cipher, for example, the ``best'' attack is an exhaustive key search attack

# BLOCK CIPHERS VS STREAM CIPHERS

**Idea:** Since we are already using stream ciphers through *block cipher + some mode of operation* we might gain something through a direct construction

Typical gain: Higher speed in software, smaller complexity in hardware, lower power consumption, ...

In some applications this is very important

## Security ?

- # There are many well known and well studied block ciphers DES, IDEA, RC5, ... more recent AES + candidates, Camelia,...
- # There are not many equally well known stream ciphers A5, RC4, and definitely not many of them with good security!

# Security of a stream cipher

- # The standard assumption  
KNOWN PLAINTEXT ATTACK
- # This implies knowledge of the keystream

$$Z = z_1, z_2, \dots, z_N$$

- # When IV is used the opponent knows

$$Z_1 = z_{1,1}, z_{1,2}, \dots, z_{1,N}, \text{ for IV} = 1$$

$$Z_2 = z_{2,1}, z_{2,2}, \dots, z_{2,N}, \text{ for IV} = 2$$

...

generated by the same key  $k$ . Could be a  
*chosen IV attack*.

# DIFFERENT TYPES OF ATTACKS

## # KEY RECOVERY ATTACK

Recover the secret key  $k$ .

## # DISTINGUISHING ATTACKS

Build a distinguisher that can distinguish

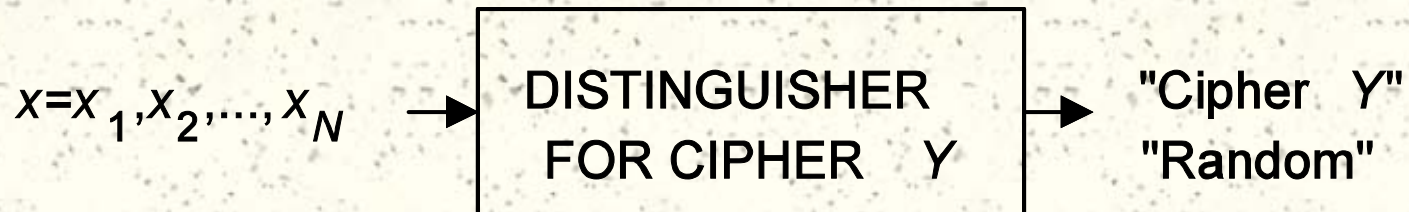
$Z = z_1, z_2, \dots, z_N$  from random  
(or  $Z_1; Z_2; \dots$  in the IV case)

## # OTHER ATTACKS

RELATED: Prediction of the next symbol, ...

UNRELATED: Side-channel attacks (power analysis, timing attacks, etc.), ...

# DISTINGUISHING ATTACKS

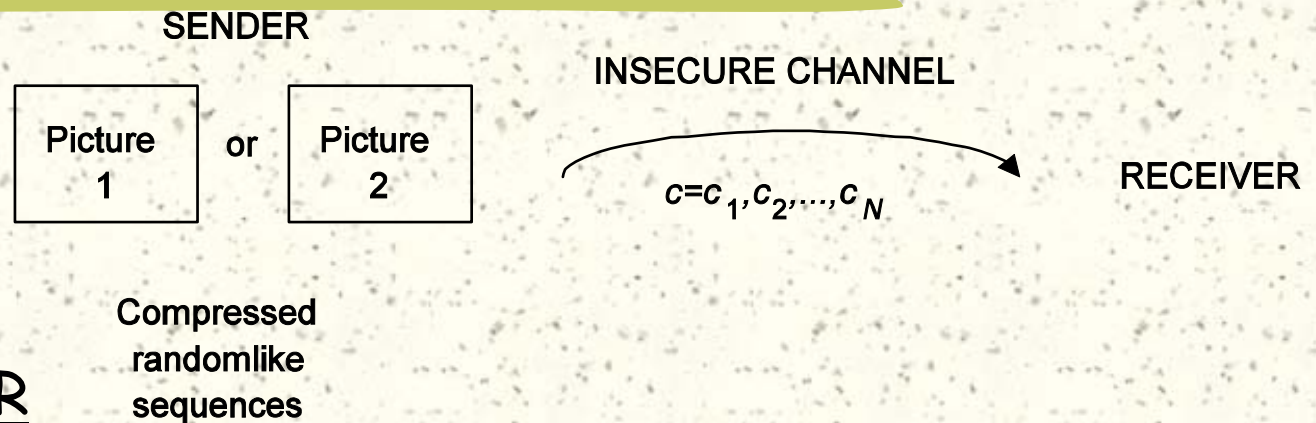


Assume that  $D$  is given a truly random  $X$  with probability  $\frac{1}{2}$ .

# If  $P(D \text{ guesses correct}) > \frac{1}{2}$  we have a distinguisher (with some advantage)

Note: We are usually not interested in cases when  $P(D \text{ guesses correct}) = \frac{1}{2} + 2^{-n}$  for too small  $2^{-n}$ .

# APPLICATION OF A DISTINGUISHING ATTACK



## THE ATTACKER

- # Guesses that PLAINTEXT = PICTURE 1 ( $P_1$ )
- # Calculates  $Z' = P_1 + C$
- # Give  $Z'$  to the distinguisher

If  $Z'$  is recognized as ``CIPHER" the plaintext was PIC. 1

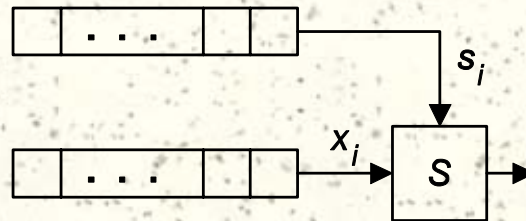
If  $Z'$  is recognized as ``RANDOM" the plaintext was PIC. 2

(A wrong guess would give  $Z' = P_1 + C = P_1 + P_2 + Z$ )

# DIFFERENT TYPES OF STREAM CIPHERS

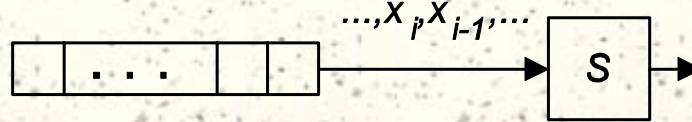
BIT-ORIENTED: "ONE BIT ON EACH CLOCK"

SHRINKING



S: If  $s_i=1$  output  $x_i$   
otherwise delete  $x_i$

SELF SHRINKING

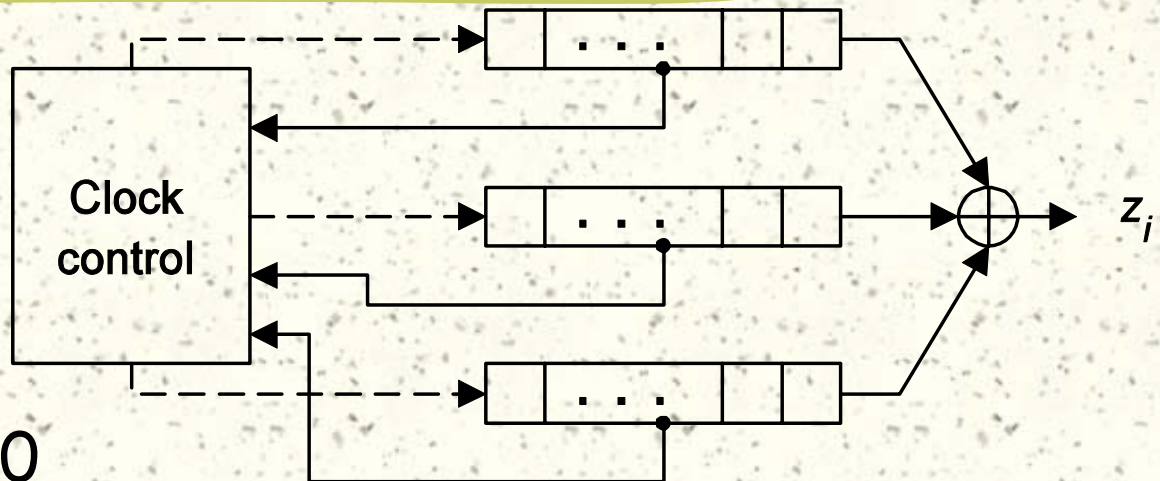


S: If  $x_{i-1}x_i = 10 \rightarrow 0$   
 $11 \rightarrow 1$   
 $00$   
 $01$  } delete

ALTERNATING STEP



# A5/1



# Bluetooth, E0

# Nonlinear combination generators and Filter generators

Very simple to implement in hardware

BUT

in general slow in software

# *In addition, some have security problems*



# WORD-ORIENTED STREAM CIPHERS

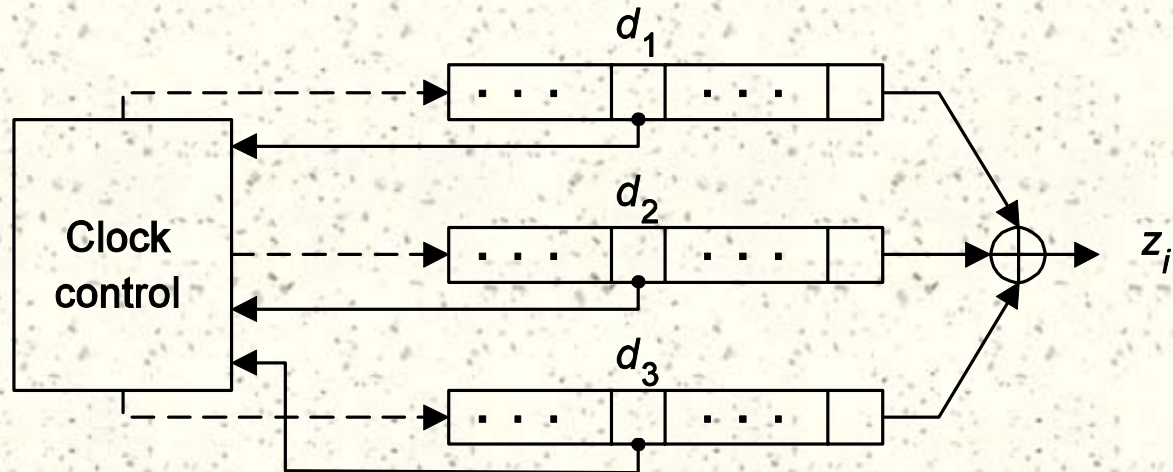
- `` Produce a word on each clock/step''
- # Word size: 8, 16, 32, 64
- # When we are operating on words, things are a bit different...
- # Moving closer to block ciphers, using their machinery, e.g.,  
S-boxes, SP-networks, etc.

# ATTACK TECHNIQUES

- # ``UNIVERSAL DISTINGUISHERS"  
NIST statistical test suite, DIEHARD, ...
- # GUESS AND DETERMINE  
Guess unknown things on demand
- # ``CORRELATION ATTACKS"  
Dependence between output and internal unknown variables
- # LINEAR ATTACKS  
Apply linear approximations
- # ``ALGEBRAIC ATTACKS"  
View your problem as the solution to a system of nonlinear equations
- # ``TIME-MEMORY TRADEOFF ATTACKS"

# GUESS AND DETERMINE

Example: "GUESS AND DETERMINE"

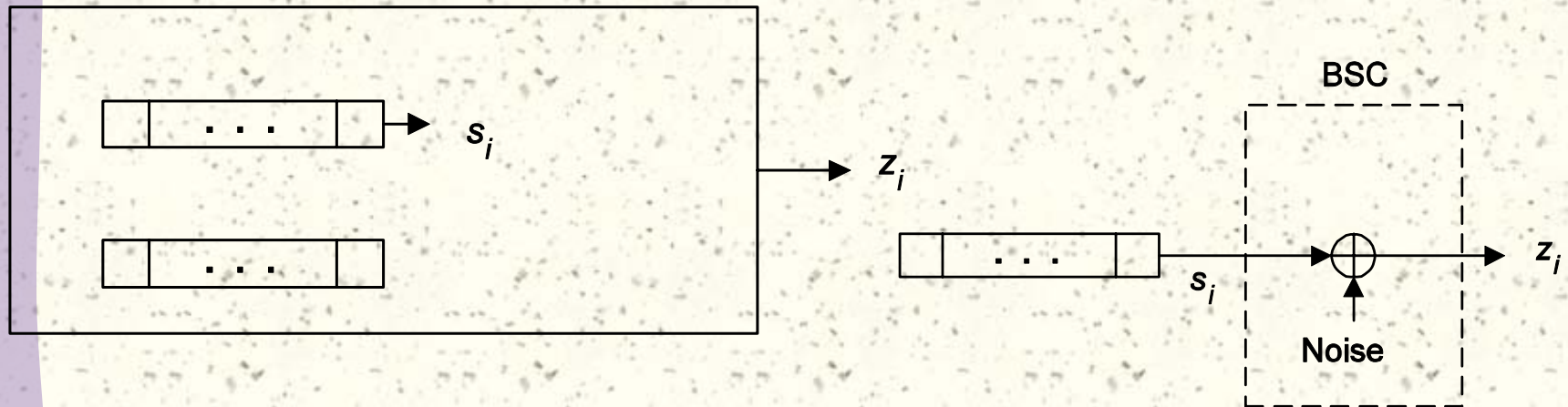


$$s_1 + t_1 + u_1 = z_1$$

$$s_{d_1} = x, t_{d_2} = x, u_{d_3} = x + 1$$

$$s_2 + t_2 + u_1 = z_2, \dots$$

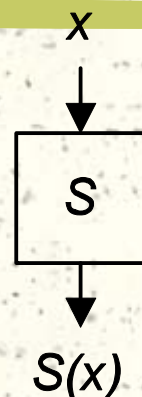
# CORRELATION ATTACKS



- # All possible LFSR sequences are codeword in a linear code  $\mathcal{C}$
- # Reconstructing the initial state is the problem of decoding the code  $\mathcal{C}$  on BSC ( $1/2 + \epsilon$ ).

# LINEAR ATTACKS

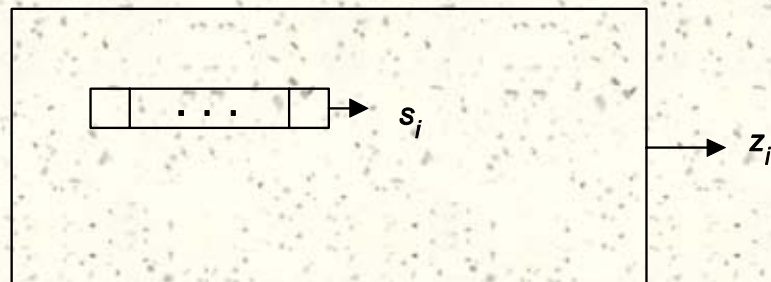
- # Replace nonlinear parts by a linear approximation



$$S(x) = \alpha \cdot x (+ N(x))$$

- # Find an expression where all unknown variables are eliminated,  $\sum c_i z_{n+i} = 0$
- # Binary case, let  $B_n = \sum c_i z_{n+i}$ . Then  $P(B_n = 0) = \frac{1}{2} + \varepsilon$ .
- # Collect as many samples as we need to distinguish the sequence  $B_1, B_2, \dots$  from random.

# ALGEBRAIC ATTACKS



- # Find a low degree algebraic expression relating  $Z$  and  $S$ ,

$$F(z_n, z_{n+1}, \dots, s_n, s_{n+1}, \dots) = 0$$

- # Valid for all  $n$ !
- # Generate a system of nonlinear equations
- # Simplest case: If the number of equations we can generate is very large we may solve the system by relinearization.

# RECENTLY PROPOSED STREAM CIPHERS

## Some proposed stream ciphers 2000-2003

|                       |            |
|-----------------------|------------|
| SNOW 2.0              | Lund Univ. |
| SOBER -t16, +t32, 128 | Qualcomm   |
| TURING                | "          |
| SCREAM                | IBM        |
| MUGI                  | Hitachi    |
| RABBIT                | Cryptico   |

# Word-oriented, fast in software

# Use of LFSR or buffers

# One linear part/update and one nonlinear

## eSTREAM project (2004-2008)

- 34 stream ciphers submitted (2005)

- Software: CryptMT, Dragon, HC, LEX, NLS, Rabbit, Salsa20, Sosemanuk

- Hardware: DECIM, Edon80, F-FCSR, Grain, Mickey, Moustique, Pomaranche, Trivium

- A lot of new ideas and techniques being evaluated...

# DISCUSSION ISSUE

Where should the level of required security be?

*Note:* An  $n$ -bit block cipher in use is usually distinguished from random using  $2^{n/2}$  output blocks and the same complexity.

Ex. AES is distinguished from random using  $\sim 2^{64}$  blocks of output

DES is distinguished from random using  $\sim 2^{32}$  blocks of output



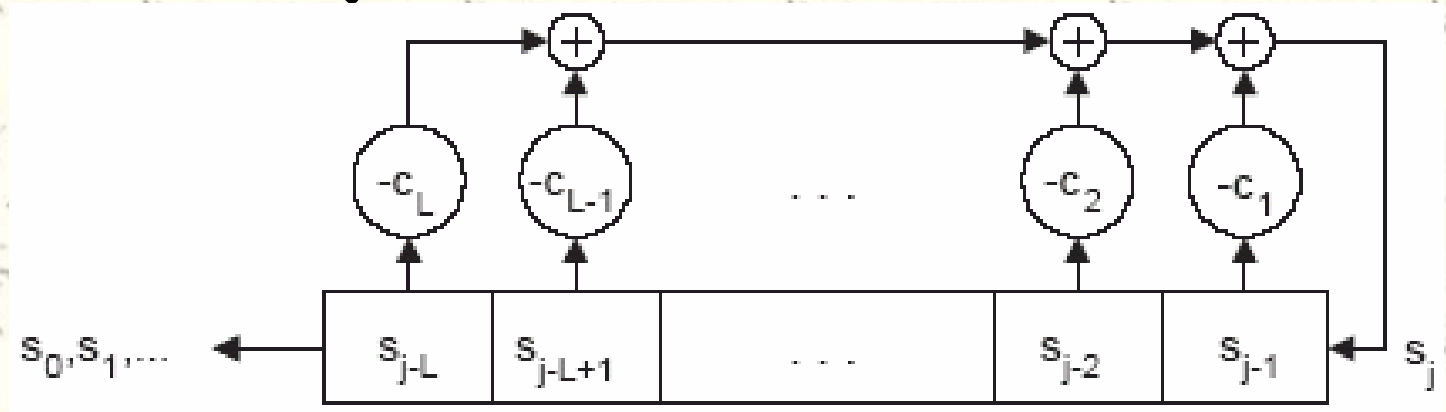
# LFSR BASED APPROACH TO STREAM CIPHER DESIGN

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- # LFSR sequences have nice statistical properties.
- # The idea is to combine or modify LFSR sequences to completely destroy the linear property of them.
- # This is the old classic way of constructing stream ciphers.

# LFSR sequences

# LFSR  $s_j \in GF(q)$



# Connection polynomial

$$C(D) = 1 + c_1 D + c_2 D^2 + \dots + c_L D^L$$

# Alternative representations

# Linear recurrence relation

$$s_j = -c_1 s_{j-1} - c_2 s_{j-2} - \dots - c_L s_{j-L},$$

*Characteristic polynomial* of the recurrence,

$$f(x) = x^L + c_1 x^{L-1} + c_2 x^{L-2} \dots + c_{L-1} x + c_L$$

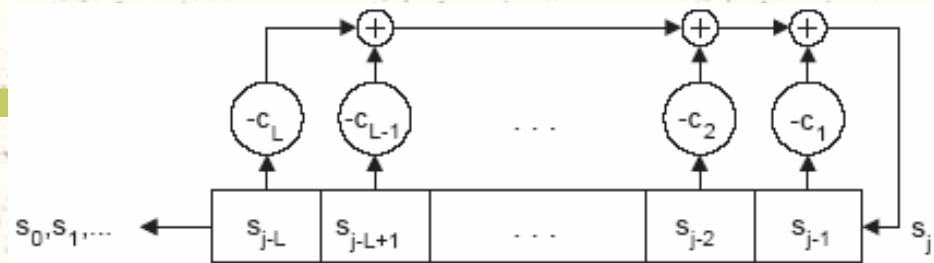
# If the polynomial is irreducible we can also write

$$s_j = \text{Tr}(\beta\alpha^j),$$

where  $\alpha, \beta \in GF(q^L)$ , and

$\text{Tr}(x) = x + x^q + x^{q^2} + \dots + x^{q^{L-1}}$  is the trace map from  $GF(q^L)$  to  $GF(q)$ .

# Multiplication in $GF(q^L)$



- # The LFSR basically implements multiplication with  $\alpha$  in  $GF(q^L)$
- # A state-transition graph gives a number of different cycles.
- #  $C(D)$  irreducible  $1[1] + (q^L - 1)/T [T]$
- #  $C(D)$  primitive  $1[1] + 1 [q^L - 1]$
- #  $C(D)$  reducible cycles of different lengths

# Primitive connection polynomials, $q=2$

- # m-sequences (period  $2^L-1$ )

- # Statistical properties

$P(s_j=0) \approx 1/2$ ,  $P((s_j, s_{j+1})=(a,b)) \approx 1/4$ , ...

$P(s_{j_1} + s_{j_2} + \dots + s_{j_n} = 0) \approx 1/2$  unless

$s_{j_1} + s_{j_2} + \dots + s_{j_n}$  obeys the recurrence relation.

- # Adding two m-sequences results in a new m-sequence

# Summary of statistical properties

m-sequences have almost ideal statistical properties, *except for* the linear parity checks described by the connection polynomial

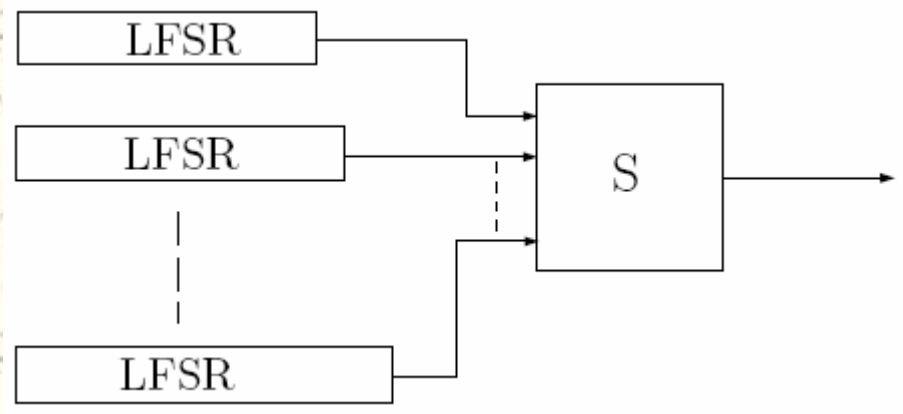
$$C(D) = 1 + c_1D + c_2D^2 + \dots + c_L D^L$$

and all its multiples  $P(D) = Q(D) C(D)$ .

We need to do something about that...

# The nonlinear combination generator

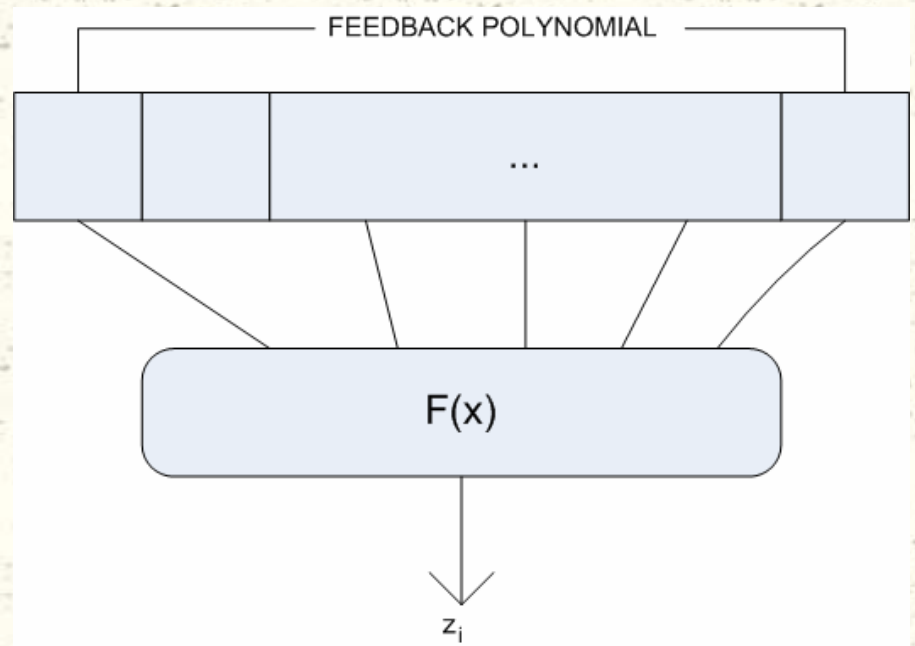
# Combine several m-sequences using a Boolean function.





# The filter generator

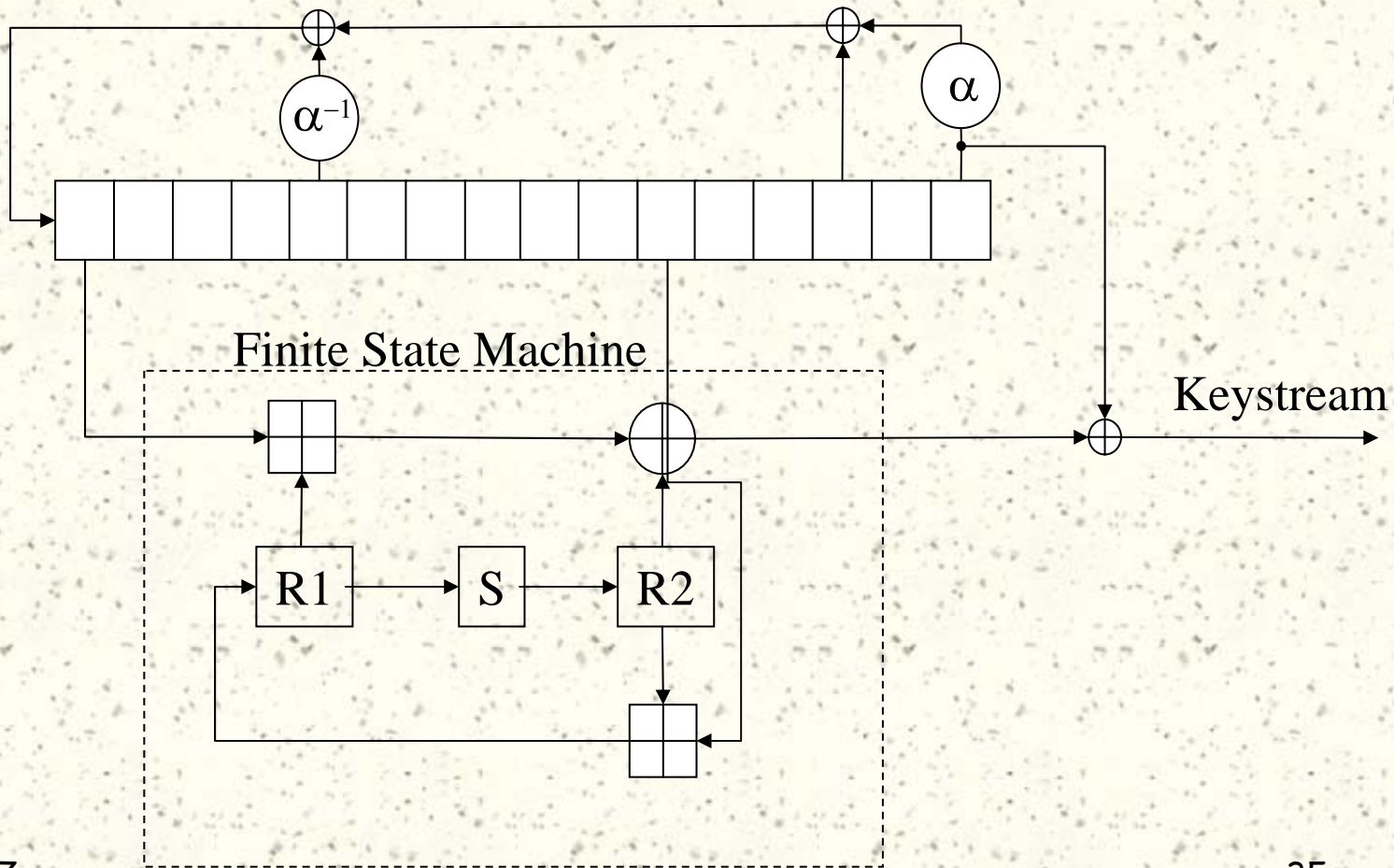
- # An m-sequence is filtered by a nonlinear function  $F(x)$



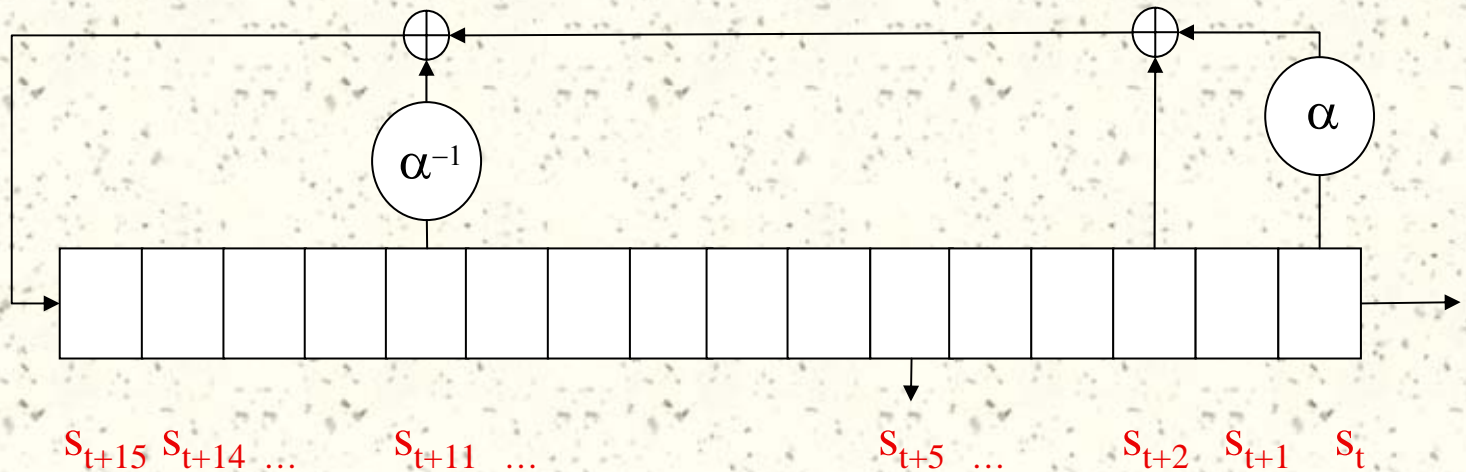
# THE SNOW STREAM CIPHERS

- # Designed at Lund University, Sweden (Johansson, Ekdahl)
- # SNOW 2.0
  - ISO standard [ISO/IEC 18033-4:2005](#)
  - DPCP (DisplayPort Content Protection)
  - Reference stream cipher in eSTREAM
- # SNOW 3G
  - UMTS

# SNOW 2.0



# THE LFSR



Feedback polynomial  $\pi(x) = \alpha x^{16} + x^{14} + \alpha^{-1} x^5 + 1 \in \mathbb{F}_{2^{32}}[x]$

More byte oriented structure:

$\mathbb{F}_{2^{32}}$  is built from  $\mathbb{F}_{2^8}$ .

5/15/2007  $\alpha$  is a root of primitive polynomial over  $\mathbb{F}_{2^8}$ .

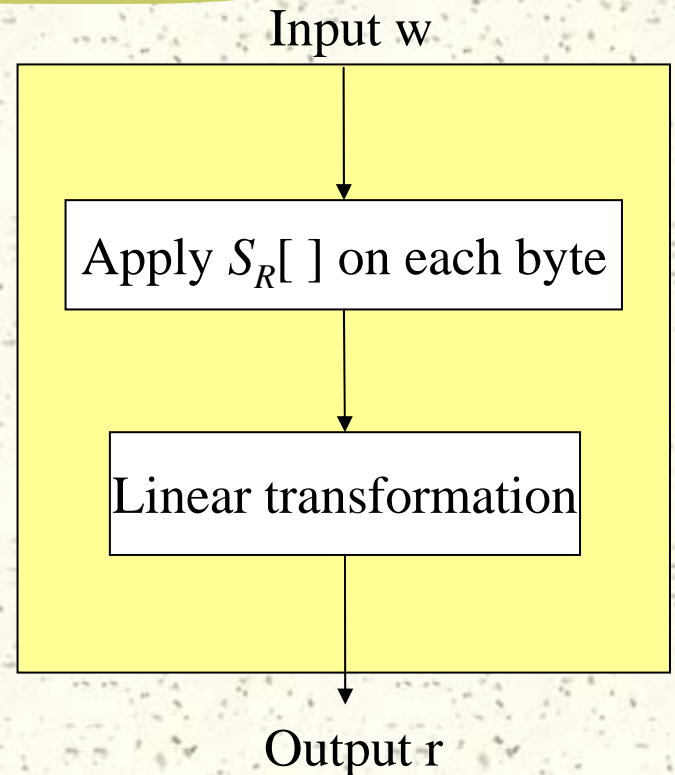
# THE S-BOX

Based on the round function of AES.

Let  $r = S(w)$  be the output of the S-Box.

$$\begin{pmatrix} r_0 \\ r_1 \\ r_2 \\ r_3 \end{pmatrix} = \begin{pmatrix} x & x+1 & 1 & 1 \\ 1 & x & x+1 & 1 \\ 1 & 1 & x & x+1 \\ x+1 & 1 & 1 & x \end{pmatrix} \begin{pmatrix} S_R[w_0] \\ S_R[w_1] \\ S_R[w_2] \\ S_R[w_3] \end{pmatrix}$$

Where  $S_R[ ]$  is the S-Box in AES, and each byte is considered an element in  $F_{2^8}$ , defined by  $x^8 + x^4 + x^3 + x + 1 \in F_2[x]$



# KEY INITIALIZATION

Two input variables:

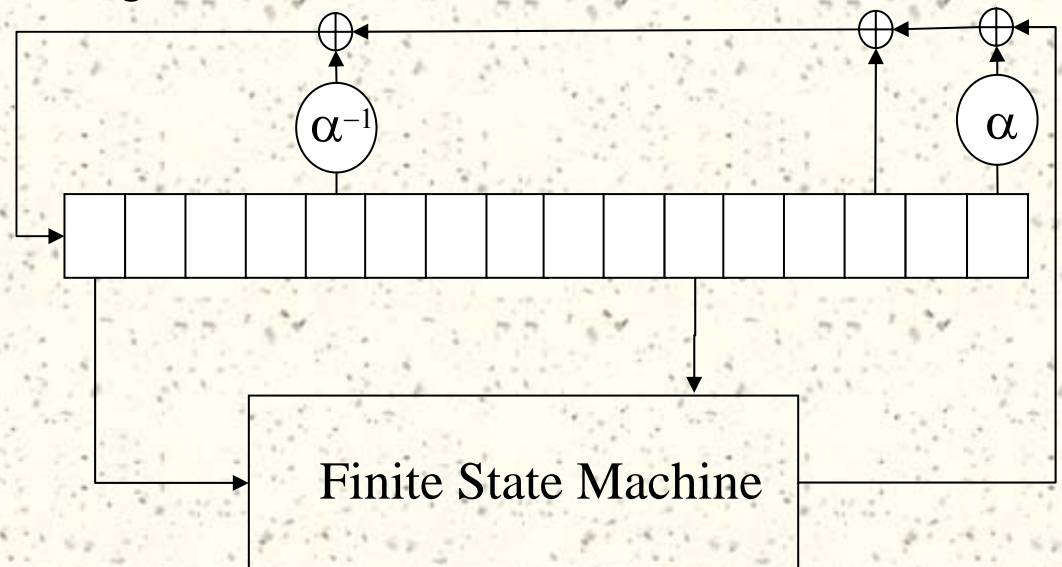
- Secret key of 128 or 256 bits,  $(k_3, \dots, k_0)$  or  $(k_7, \dots, k_0)$
- Publicly known IV of 128 bits,  $(IV_3, \dots, IV_0)$

Denote the register  $(s_{15}, \dots, s_0)$

**128 bit key: Load the register  $(s_{15}, \dots, s_0)$  with a mix of key bits and IV bits.**

# KEY INITIALIZATION

Premix with 32 clocks using:



Switch to normal operation, clock once, and read out the first keystream symbol.

# SECURITY ASPECTS

- ✓ The feedback polynomial has two constants.  
Better spreading of the bits in the feedback loop.  
No known method to derive a linear recurrence that hold for each bit, and has reasonably low weight.
- ✓ The FSM takes two words as input.  
Harder to invert the FSM, takes more guessing.  
Suggests that correlations in the FSM is small.
- ✓ The S-Box has good spreading of the bits.  
Each output bit depends on each input bit.



# IMPLEMENTATION ASPECTS

Simple instructions:

- XOR
- Integer addition
- Byte shift of a word
- Table lookup

## LFSR:

Byte oriented feedback polynomial.

Multiplication with  $\alpha$  and  $\alpha^{-1}$  implemented as a byte shift and an XOR with a pattern.

$$\text{mul}_{\alpha}[c] = (c\beta^{23}, c\beta^{245}, c\beta^{48}, c\beta^{239})$$

$$\text{mul}_{\alpha^{-1}}[c] = (c\beta^{16}, c\beta^{39}, c\beta^6, c\beta^{64})$$

for all  $c \in \mathbb{F}_{2^8}$

```
// multiplication w·alpha  
result=(w<<8) xor mul_a[w>>24];
```

## The S-Box: Same method used in AES.

$$T_0[a] = \begin{pmatrix} xS_R[a] \\ S_R[a] \\ S_R[a] \\ (x+1)S_R[a] \end{pmatrix}, \quad T_1[a] = \begin{pmatrix} (x+1)S_R[a] \\ xS_R[a] \\ S_R[a] \\ S_R[a] \end{pmatrix}$$
$$T_2[a] = \begin{pmatrix} S_R[a] \\ (x+1)S_R[a] \\ xS_R[a] \\ S_R[a] \end{pmatrix}, \quad T_3[a] = \begin{pmatrix} S_R[a] \\ S_R[a] \\ (x+1)S_R[a] \\ xS_R[a] \end{pmatrix}$$

```
//Calculate r=S-Box(w)
```

```
r=T0[byte0(w)] xor T1[byte1(w)] xor T2[byte2(w)] xor T3[byte3(w)];
```

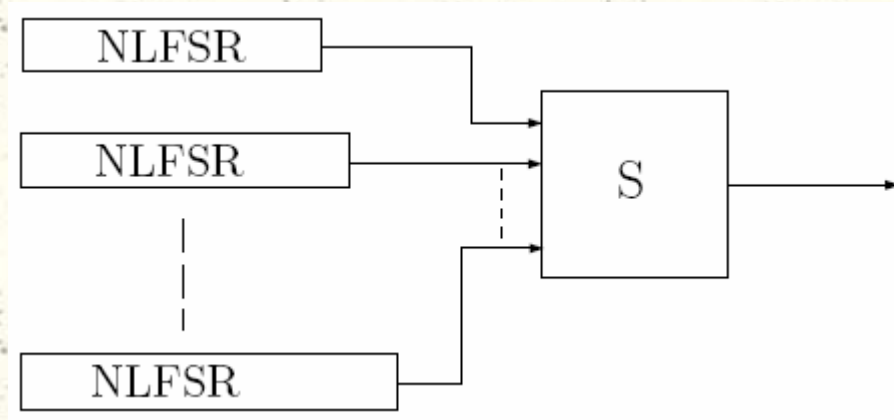
# PERFORMANCE OF SOME STREAM CIPHERS

| Primitive         | Profile | Key | IV  | MAC | Stream | 40 bytes | 5 |
|-------------------|---------|-----|-----|-----|--------|----------|---|
| <u>COPY</u>       | SW & HW | 80  | 80  |     | 0.33   | 4.36     |   |
| <u>ABC-v1</u>     | SW      | 128 | 128 |     | 3.43   | 13.57    |   |
| <u>Py</u>         | SW      | 128 | 64  |     | 3.66   | 232.71   |   |
| <u>Py</u>         | SW      | 256 | 128 |     | 3.66   | 230.28   |   |
| <u>Py6</u>        | SW      | 128 | 64  |     | 3.82   | 78.93    |   |
| <u>Py6</u>        | SW      | 256 | 128 |     | 3.83   | 83.32    |   |
| <u>ABC-v2</u>     | SW      | 128 | 128 |     | 4.15   | 15.53    |   |
| <u>HC-256</u>     | SW      | 256 | 128 |     | 4.95   | 2426.98  |   |
| <u>HC-256</u>     | SW      | 128 | 128 |     | 4.97   | 2409.40  |   |
| <u>SNOW-2.0</u>   | SW      | 128 | 128 |     | 5.19   | 39.04    |   |
| <u>SNOW-2.0</u>   | SW      | 256 | 128 |     | 5.22   | 42.69    |   |
| <u>Phelix</u>     | SW      | 128 | 128 | 64  | 5.53   | 23.62    |   |
| <u>Phelix</u>     | SW      | 256 | 128 | 128 | 5.58   | 23.59    |   |
| <u>SOSEMANUK</u>  | SW      | 128 | 64  |     | 5.72   | 48.02    |   |
| <u>SOSEMANUK</u>  | SW      | 256 | 128 |     | 5.72   | 39.29    |   |
| <u>NLS</u>        | SW      | 128 | 64  |     | 5.75   | 42.44    |   |
| <u>NLS</u>        | SW      | 128 | 128 |     | 5.76   | 39.05    |   |
| <u>Rabbit</u>     | SW & HW | 128 | 64  |     | 7.71   | 28.22    |   |
| <u>TRIVIUM</u>    | HW      | 80  | 64  |     | 8.53   | 55.22    |   |
| <u>TRIVIUM</u>    | HW      | 80  | 80  |     | 8.54   | 56.42    |   |
| <u>LEX</u>        | SW & HW | 128 | 128 |     | 9.90   | 20.83    |   |
| <u>MAG-v3</u>     | SW      | 256 | 64  |     | 10.59  | 713.58   |   |
| <u>RC4</u>        | SW      | 256 | 0   |     | 10.98  | 581.88   |   |
| <u>RC4</u>        | SW      | 128 | 0   |     | 11.01  | 581.85   |   |
| <u>NLS</u>        | SW      | 128 | 128 | 128 | 12.25  | 107.11   |   |
| <u>Dragon</u>     | SW      | 128 | 128 |     | 12.27  | 78.87    |   |
| <u>Dragon</u>     | SW      | 256 | 128 |     | 12.27  | 82.96    |   |
| <u>NLS</u>        | SW      | 128 | 64  | 64  | 12.30  | 95.28    |   |
| <u>Salsa20</u>    | SW & HW | 128 | 64  |     | 13.85  | 39.20    |   |
| <u>Salsa20</u>    | SW & HW | 256 | 64  |     | 13.85  | 42.10    |   |
| <u>DICING</u>     | SW      | 128 | 128 |     | 14.67  | 409.99   |   |
| <u>DICING</u>     | SW      | 256 | 128 |     | 14.69  | 414.70   |   |
| <u>CryptMT</u>    | SW      | 128 | 128 |     | 16.06  | 997.48   |   |
| <u>CryptMT</u>    | SW      | 256 | 128 |     | 16.07  | 1064.72  |   |
| <u>Yamb</u>       | SW & HW | 256 | 128 |     | 16.48  | 1221.48  |   |
| <u>Yamb</u>       | SW & HW | 128 | 64  |     | 16.56  | 1205.22  |   |
| <u>Mir-1</u>      | SW      | 128 | 64  |     | 18.13  | 59.29    |   |
| <u>AES-CTR</u>    | SW & HW | 128 | 128 |     | 24.13  | 33.91    |   |
| <u>MAG-v1</u>     | SW & HW | 128 | 32  |     | 30.79  | 251.83   |   |
| <u>Polar-Bear</u> | SW & HW | 128 | 64  |     | 30.87  | 61.36    |   |

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# Nonlinear shift register sequences

- # De Bruijn sequences (period  $2^L$ )
- # The Achtebahn stream ciphers



NLFSR is implemented as an LFSR but with nonlinear feedback. Now we do not necessarily have  $P(s_{j_1} + s_{j_2} + \dots + s_{j_n} = 0) \approx 1/2$ .

# SUMMARY

- ✓ Overview of stream ciphers.
- ✓ Using LFSR sequences in stream ciphers.

## *Research issues:*

- ✓ Security analysis of LFSR based stream ciphers.
- ✓ Efficient implementation of sequence generation.
- ✓ Stream ciphers in constrained environments.